

# KARTIK INVESTMENTS TRUST LIMITED

Parry House, 2<sup>nd</sup> Floor, No. 43, Moore Street, Parrys, Chennai - 600 001.

Phone : 044-2530 7123 Fax : 044-2534 6466

Website : [www.kartikinvestments.com](http://www.kartikinvestments.com)

CIN : L65993TN1978PLC012913

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April 17, 2021

**The Secretary  
BSE Limited  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400 001**

Dear Sir,

**Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended 31<sup>st</sup> March 2021**

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
for Kartik Investments Trust Limited



S Sangeetha  
Compliance Officer

Encl.: As above

15<sup>th</sup> April, 2021

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021

ISIN : INE524U01019

SECURITY CODE: 501151

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-lodged for transfer even after the stipulated deadline of 01<sup>st</sup> April, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Kartik Investments Trust Limited**, having its Registered office at "Parry House", II Floor, 43, Moore Street Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Kfin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited)** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 pertaining to transfer of equity shares of the company for the period **1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by, **Kartik Investments Trust Limited** with **BSE Limited** and based on our such examination as well as information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company in relation to the half year ended **31<sup>st</sup> March 2021** has not received any requests pertaining to transfer, transmission, deletion of name, transposition, sub-division, consolidation and renewal of certificate(s).

During the aforementioned period, **Kartik Investments Trust Limited** has received requests for issue of Duplicate shares certificates and the same has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For **R. SRIDHARAN & ASSOCIATES**  
COMPANY SECRETARIES



**CS R. SRIDHARAN**

C.P.No.3239

FCS No.4775

UIN: S2003TN063400

UDIN:F004775C000096482